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In re Application of:

Liu, Ya Fang

Serial No: 10/042,614

Filed: Januray 9, 2002

For: Method for Identifying JNK and MLK  
Inhibitors for Treatment of Neurological  
Conditions

Attorney Docket No. YFLU-P03-001

Art Unit: 1631

Examiner: N/A

#3  
Plunkett  
5/1/02

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**INFORMATION DISCLOSURE STATEMENT IN COMPLIANCE WITH 37**

**CFR §§ 1.97(b) and 1.98(d)**

Submitted herewith on Form PTO-1449 is a list of publications cited in the parent application (U.S.S.N. 09/156,367, filed September 17, 1998) of the above-referenced application. In accordance with CFR § 1.98 (d), applicants respectfully submit that **no** copy of any patent, publication, or other information listed on the enclosed Form PTO 1449 is needed because the citations were made in the above-mentioned parent application which is relied upon for an earlier filing date under 35 U.S.C. 120.

This Information Disclosure Statement is being filed before the mailing of the first office action on the merits; therefore, no fee is due.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached Form 1449.

This submission does not represent that a search has been made or that no better art exists. Nor does it constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

The Information Disclosure Statement submitted herewith is being filed before the mailing date of a first Office Action on the merits, and as such applicants believe no fees are due at this time. However, should any fees need to be paid in connection with this submission, the Commissioner is hereby authorized to credit any overpayment or charge any deficiency to/from **Deposit Account No. 18-1945**, under Order No. YFLU-P03-001.

Respectfully submitted,  
Ropes & Gray

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Form PTO-1449

**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**

(Use several sheets if necessary)

Docket Number (Optional)  
YFLU-P03-001Application Number  
10/042,614Applicant  
Liu, Ya FangFiling Date  
January 9, 2002Group Art Unit  
1631**COPY OF PAPERS  
ORIGINALLY FILED****U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,060,247	5/00	Miller et al.		
	AB	5,854,043	12/98	Johnson		
	AC	5,840,509	11/98	Ni et al.		
	AD	5,817,479	10/98	Au-Young et al.		
	AE	5,741,808	4/21/98	Lewis et al.		
	AF	5,621,100	4/15/97	Lewis et al.		
	AG	5,621,101	4/15/97	Lewis et al.		

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	AH	WO 9918193	4/15/99	WIPO			

**OTHER DOCUMENTS**

(Including Author, Title, Date, Pertinent Pages Etc.)

AI	Anderson, A. J. et al. DNA Damage and Apoptosis in Alzheimer's Disease: Colocalization with c-Jun Immunoreactivity, Relationship to Brain Area, and Effect of Postmortem Delay. <i>J. Neurosci.</i> 16, 1710-1719 (1 March 1996).
AJ	Bossy-Wetzel, E. et al. Induction of Apoptosis by the Transcription Factor c-Jun. <i>EMBO J.</i> 16, 1695-1709 (1997).
AK	Chen, Y. et al. The Role of c-Jun N-Terminal Kinase (JNK) in Apoptosis Induced by Ultraviolet C and $\gamma$ Radiation. <i>J. Biol. Chem.</i> 271, 31929-31936 (13 December 1996).
AL	Cheung, N. S. et al. Kainate-induced apoptosis correlates with c-Jun activation in cultured cerebellar granule cells. <i>J. Neurosci. Res.</i> 52, 69-82 (1 April 1998).
AM	David, G. et al. Cloning of the SCA7 Gene Reveals a Highly Unstable CAG Repeat Expansion. <i>Nature Genetics</i> 17, 65-70 (September 1997).
AN	Davis, R. J. Human JNK3 Alpha 2 Protein Kinase (JNK3A2) mRNA. <i>GenBank</i> Accession No. U33819
AO	Davis, R. J. Human JNK3 Alpha 2 Protein Kinase (JNK3A2) mRNA. <i>GenBank</i> Accession No. U33820.
AP	Davis, R. J. MAPKs: New JNK Expands the Group. <i>TIBS</i> 19, 470-473 (November 1994).
AQ	Derijard, B. et al. JNK1: A Protein Kinase Stimulated by UV Light and Ha-Ras That Binds and Phosphorylates the c-Jun Activation Domain. <i>Cell</i> 76, 1025-1037 (25 March 1994).
AR	Dickens, M. et al. A Cytoplasmic Inhibitor of JNK Signal Transduction Pathway. <i>Science</i> 277, 693 (1 August 1997).

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AS

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Eilers, A. et al. Role of the Jun Kinase Pathway in the Regulation of c-Jun Expression and Apoptosis in Sympathetic Neurons. *J. Neurosci.* 18, 1713-1724 (1 March 1998).

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Herdegen, T. et al. Lasting N-Terminal Phosphorylation of c-Jun and Activation of c-Jun N-Terminal Kinases after Neuronal Injury. *J. Neurosci.* 18, 5124-5135 (15 July 1998).

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Kyriakis, J. M. et al. The Stress-Activated Protein Kinase Subfamily of c-Jun Kinases. *Nature* 369, 156-160 (12 May 1994).

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BE

Liu, Ya Fang. Expression of Polyglutamine-expanded Huntingtin Activates the SEK1-JNK Pathway and Induces Apoptosis in a Hippocampal Neuronal Cell Line. *J. Biol. Chem.* 273, 28873-77 (23 October 1997).

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BH

Liu, Z. et al. Dissection of TNF Receptor 1 Effector Functions: JNK Activation is Not Linked to Apoptosis While NF-KB Activation Prevents Cell Death. *Cell* 87, 565-576 (November 1996).

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Group Art Unit

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BJ

Martin, J. H. et al. Developmental Expression in the Mouse Nervous System of the p493F12 SAP Kinase. *Brain Res. Mol. Brain Res.* 35, 47-57 (January 1996) - ABSTRACT ONLY.

BK

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BL

Nishina, H. et al. Stress Signaling Kinase Sek1 Protects Thymocytes from Apoptosis Mediated by CD95 and CD3. *Nature* 385, 350-357 (23 January 1997).

BM

Paulson, H. L. et al. Trinucleotide Repeats in Neurogenetic Disorders. *An. Rev. Neurosci.* 19, 79-107 (1996).

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BP

Snell, R. et al. Relationship Between Trinucleotide Repeat Expansion and Phenotypic Variation in Huntington's Disease. *Nature* 4, 393-397 (August 1993).

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Thomas, L. B. et al. DNA End Labeling (TUNEL) in Huntington's Disease and other Neuropathological Conditions. *Exp. Neurol.* 133, 265-272 (June 1995) - ABSTRACT ONLY.

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BV

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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